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Massive multi-flavor Schwinger model at finite temperature and on compact space¹

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The multi-flavor Schwinger model on R^1 at finite temperature T is mathematically equivalent to the model on S^1 at $T = 0$. The latter is reduced to a quantum mechanical system of $N - 1$ degrees of freedom. Physics sensitively depends on the parameter m/T . Finite temperature behavior of the massive Schwinger model is quite different from that of the massless Schwinger model.

1. Introduction

The Schwinger model is QED in two dimensions, described by

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \sum_{a=1}^N \bar{\psi}_a \left\{ \gamma^\mu (i\partial_\mu - eA_\mu) - m_a \right\} \psi_a . \quad (1)$$

Massless theory ($m_a = 0$) is exactly solvable. In the $N = 1$ (one flavor) model the gauge boson acquires a mass without breaking the gauge invariance.¹ It has the θ vacuum and a non-vanishing chiral condensate $\langle \bar{\psi}\psi \rangle \neq 0$.²

The $N \geq 2$ model is distinctively different from the $N = 1$ model. The spectrum contains $N - 1$ massless bosons. The chiral condensate vanishes, $\langle \bar{\psi}\psi \rangle = 0$,³ as in two dimensions continuous symmetry, $SU(N)$ chiral symmetry in this case, cannot be spontaneously broken. Nonvanishing $\langle \bar{\psi}\psi \rangle$ in the $N=1$ theory is allowed, since the $U(1)$ chiral symmetry is broken by an anomaly.

With massive fermions the model is not exactly solvable. The effect of the fermion mass in the $N = 1$ theory is minor for $m/e \ll 1$, except that it necessitates the θ vacuum. For $N \geq 2$ the situation is quite different. Coleman showed⁴ that in the $N = 2$ model with $m_a = m$ two resultant bosons acquire masses given by

$$\mu_1 \sim \frac{\sqrt{2}e}{\sqrt{\pi}} , \quad \mu_2 \propto m^{2/3}e^{1/3} \left| \cos \frac{1}{2}\theta \right|^{2/3} . \quad (2)$$

Surprising is the fractional power dependence on m , e and $|\cos \frac{1}{2}\theta|$ of the second boson mass μ_2 , resulting from the self-consistent re-alignment of the vacuum against fermion masses. The effect of fermion masses is always non-perturbative even if $m/e \ll 1$.

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How does μ_2 depend on m in the N flavor model? How does it change at finite temperature? Does the chiral condensate vanish at sufficiently high temperature? Can the fermion mass be treated as a small perturbation at high temperature?

These are questions addressed in this article. We present a powerful method to evaluate various physical quantities at zero and finite temperature. We shall recognize the importance of a dimensionless parameter m/T . The behavior at $m/T \gg 1$ is quite different from that at $m/T \ll 1$. Coleman's result (2) corresponds to $m/T \gg 1$ as $T \rightarrow 0$. At high temperature $m/T \ll 1$, one finds $\mu_2 \propto m$, a result obtained in perturbation theory. This work is based on the result obtained in ref. 5.

2. At finite temperature and on a circle

In Matsubara's formalism the model at finite temperature T in equilibrium is equivalent to an Euclidean field theory, or a theory with an imaginary time τ , satisfying boundary conditions

$$\psi_a(\tau + \frac{1}{T}, x) = -\psi_a(\tau, x) , \quad A_\mu(\tau + \frac{1}{T}, x) = A_\mu(\tau, x) . \quad (3)$$

If one, instead, places the model on a circle of circumference L (at zero temperature) with boundary conditions

$$\psi_a(t, x + L) = -\psi_a(t, x) , \quad A_\mu(t, x + L) = A_\mu(t, x) , \quad (4)$$

then one obtains a theory which is, after Wick's rotation, mathematically equivalent to the finite temperature field theory defined by (3). Various physical quantities in the Schwinger model at $T \neq 0$ are related to corresponding ones in the model on S^1 by substitution of T by L^{-1} .

Our strategy is to solve the model on a circle S^1 with an arbitrary size L . There is powerful machinery which specifically works on S^1 .^{5–8}

3. Reduction to a quantum mechanical system

Fermion operators on S^1 can be expressed in terms of bosonic operators: Take $\gamma^\mu = (\sigma_1, i\sigma_2)$ and write $\psi_a^T = (\psi_+^a, \psi_-^a)$. In the interaction picture defined by free massless fermions

$$\psi_\pm^a(t, x) = \frac{1}{\sqrt{L}} C_\pm^a e^{\pm i\{q_\pm^a + 2\pi p_\pm^a(t \pm x)/L\}} : e^{\pm i\sqrt{4\pi}\phi_\pm^a(t, x)} : \quad (5)$$

$$e^{2\pi i p_\pm^a} |\text{phys}\rangle = |\text{phys}\rangle . \quad (6)$$

The Klein factors are given by $C_+^a = e^{i\pi \sum_{b=1}^{a-1} (p_+^b + p_-^b)}$ and $C_-^a = e^{i\pi \sum_{b=1}^a (p_+^b - p_-^b)}$. Here $[q_\pm^a, p_\pm^b] = i\delta^{ab}$ and $\phi_\pm^a(t, x) = \sum_{n=1}^\infty (4\pi n)^{-1/2} \{c_{\pm, n}^a e^{-2\pi i n(t \pm x)/L} + \text{h.c.}\}$ where $[c_{\pm, n}^a, c_{\pm, m}^{b\dagger}] = \delta^{ab}\delta_{nm}$. The $: \quad :$ indicates normal ordering with respect to (c_n, c_n^\dagger) . The antiperiodic boundary condition is ensured by a physical state condition (6). In physical states p_\pm^a takes integer eigenvalues.

After substituting the bosonization formula (5), the total Hamiltonian in the Schrödinger picture becomes

$$\begin{aligned} H_{\text{tot}} &= H_0 + H_\phi + H_{\text{mass}} \\ H_0 &= -\frac{e^2 L}{2} \frac{d^2}{d\Theta_W^2} + \frac{\pi}{2L} \sum_{a=1}^N \left\{ (p_+^a - p_-^a)^2 + (p_+^a + p_-^a + \frac{\Theta_W}{\pi})^2 \right\} \\ H_\phi &= \int_0^L dx \frac{1}{2} \left[\sum_{a=1}^N \left\{ \Pi_a^2 + (\phi'_a)^2 \right\} + \frac{e^2}{\pi} \left(\sum_{a=1}^N \phi_a \right)^2 \right] \end{aligned} \quad (7)$$

Here $p_+^a - p_-^a$ and $p_+^a + p_-^a$ correspond to the charge and chiral charge operators, respectively. Θ_W is the phase of the Wilson line around the circle, the only physical degreee of freedom of the gauge field on the circle, $A_1 = \Theta_W(t)/eL$. The coupling between p_\pm^a and Θ_W is induced through the chiral anomaly.⁷ $\phi_a = \phi_+^a + \phi_-^a$ and Π_a is its canonical conjugate. H_{mass} is the fermion mass term.

Notice that (7) is an exact operator identity. In the absence of fermion masses $H_{\text{tot}} = H_0 + H_\phi$. The zero modes (Θ_W, q_\pm^a) decouple from the oscillatory modes ϕ_a , and the Hamiltonian is exactly solvable. The spectrum contains one massive field $N^{-1/2} \sum_{a=1}^N \phi_a$ with a mass $\mu = (N/\pi)^{1/2} e$, and $N - 1$ massless fields.

To examine effects of H_{mass} , first note that H_{mass} , and therefore H_{tot} , commutes with $p_+^a - p_-^a$. Hence we can restrict ourselves to states with $(p_+^a - p_-^a) |\text{phys}\rangle = 0$. With this restriction a complete set of eigenfunctions and eigenvalues of H_0 is

$$\begin{aligned} \Phi_s^{(n_1, \dots, n_N)} &= \frac{1}{(2\pi)^N} u_s [\Theta_W + \frac{2\pi}{N} \sum_a n_a] e^{i \sum a (q_+^a + q_-^a)} \\ E_s^{(n_1, \dots, n_N)} &= \mu(s + \frac{1}{2}) + \frac{2\pi}{L} \sum_{a=1}^N n_a^2 - \frac{2\pi}{NL} \left\{ \sum_{a=1}^N n_a \right\}^2 \end{aligned} \quad (8)$$

where a harmonic oscillator wave function u_s satisfies $\frac{1}{2}(-\partial_x^2 + x^2)u_s = (s + \frac{1}{2})u_s$ with $x = (\pi e^2 L^2 / N)^{-1/4} \Theta_W$. The ground states of H_0 are infinitely degenerate for $n_1 = \dots = n_N$ due to the invariance under a large gauge transformation $\Theta_W \rightarrow \Theta_W + 2\pi$ and $\psi_a \rightarrow e^{2\pi i x/L} \psi_a$.

H_{mass} induces transitions among $\Phi_s^{(n_1, \dots, n_N)}$'s. It also gives finite masses to the $N - 1$ previously massless fields. The structure of the vacuum sensitively depends on H_{mass} . The effect of H_{mass} turns out quite nonperturbative so long as $m_a \neq 0$.

It is more convenient to work in a coherent state basis given by

$$\Phi_s(\varphi_a; \theta) = \frac{1}{(2\pi)^{N/2}} \sum_{\{n, r_a\}} e^{in\theta + i \sum_{a=1}^{N-1} r_a \varphi_a} \Phi_s^{(n+r_1, \dots, n+r_{N-1}, n)} \quad (9)$$

Transitions in the s index may be ignored to a very good approximation. We seek the vacuum in the form

$$\Phi_{\text{vac}}(\theta) = \int_0^{2\pi} d\varphi_1 \cdots d\varphi_{N-1} f(\varphi_a; \theta) \Phi_0(\varphi_a; \theta). \quad (10)$$

Let $\chi_\alpha = U_{\alpha a} \phi_a$ and μ_α be a mass eigenstate field and its mass. Then matrix elements of H_{mass} in the coherent state basis are

$$\begin{aligned} \langle \Phi_0(\varphi'_a; \theta') | H_{\text{mass}} | \Phi_0(\varphi_a; \theta) \rangle &= -\delta_{2\pi}(\theta' - \theta) \prod_{b=1}^{N-1} \delta_{2\pi}(\varphi'_b - \varphi_b) \sum_{a=1}^N A_a \cos \varphi_a \\ A_a &= 2m_a e^{-\pi/N\mu L} \prod_{\alpha=1}^N B(\mu_\alpha L)^{(U_{\alpha a})^2}, \quad \varphi_N = \theta - \sum_{a=1}^{N-1} \varphi_a . \end{aligned} \quad (11)$$

$B(z)$ is given by

$$\begin{aligned} B(z) &= \exp \left\{ \sum_{n=1}^{\infty} \left(\frac{1}{n} - \frac{1}{\sqrt{n^2 + (z/2\pi)^2}} \right) \right\} \\ &= \frac{z}{4\pi} \exp \left\{ \gamma + \frac{\pi}{z} - 2 \int_1^\infty \frac{du}{(e^{uz} - 1)\sqrt{u^2 - 1}} \right\} . \end{aligned} \quad (12)$$

The eigenvalue equation $(H_0 + H_{\text{mass}}) \Phi_{\text{vac}}(\theta) = E \Phi_{\text{vac}}(\theta)$ becomes

$$\begin{aligned} \left\{ -\Delta_N^\varphi + V_N(\varphi) \right\} f(\varphi) &= \epsilon f(\varphi) \\ \Delta_N^\varphi &= \sum_{a=1}^{N-1} \frac{\partial^2}{\partial \varphi_a^2} - \frac{2}{N-1} \sum_{a < b}^{N-1} \frac{\partial^2}{\partial \varphi_a \partial \varphi_b} \\ V_N(\varphi) &= -\frac{NL}{2(N-1)\pi} \sum_{a=1}^N A_a \cos \varphi_a . \end{aligned} \quad (13)$$

Here $\epsilon = NEL/2\pi(N-1)$. Eq. (13) is nothing but the Schrödinger equation with the kinetic and potential terms given by $-\Delta_N^\varphi$ and $V_N(\varphi)$, respectively.

The potential $V_N(\varphi)$ depends, through A_α defined in (11), on μ_α and $U_{\alpha a}$ which are to be self-consistently determined from the ground state wave function $f(\varphi_a; \theta)$ of the Schrödinger equation (13). μ_α 's and $U_{\alpha a}$'s are determined by

$$\begin{aligned} \frac{\mu^2}{N} \begin{pmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 1 \end{pmatrix} + \begin{pmatrix} R_1 & & \\ & \ddots & \\ & & R_N \end{pmatrix} &= U^T \begin{pmatrix} \mu_1^2 & & \\ & \ddots & \\ & & \mu_N^2 \end{pmatrix} U \\ R_a &= \frac{4\pi}{L} A_a \langle \cos \varphi_a \rangle_f = -4\pi m_a \langle \bar{\psi}_a \psi_a \rangle_\theta . \end{aligned} \quad (14)$$

We have denoted $\langle g(\varphi) \rangle_f = \int [d\varphi] g(\varphi) |f(\varphi)|^2$. We need to solve (11), (13), and (14) self-consistently.

We have shown that the N flavor massive Schwinger model is reduced to quantum mechanics of $N-1$ degrees of freedom in which the potential has to be fixed self-consistently with its ground state wave function.

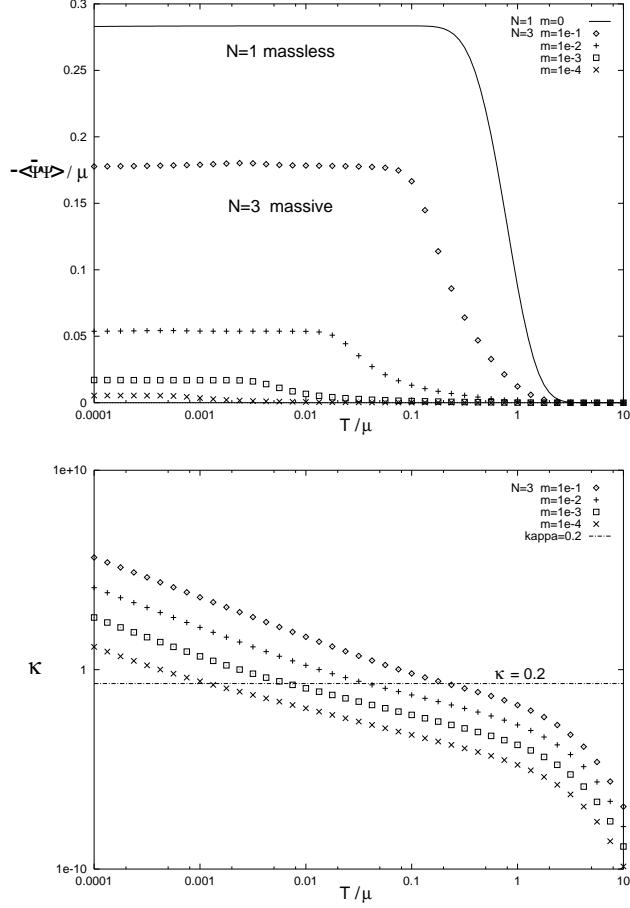


Figure 1: Chiral condensate $-\langle \bar{\psi} \psi \rangle / \mu$ and κ_0 as a function of temperature T at $\theta = 0$ in the $N = 1$ and $N = 3$ models. In the $N = 3$ model $m/\mu = 10^{-1}, 10^{-2}, 10^{-3}$ and 10^{-4} . The crossover in $\langle \bar{\psi} \psi \rangle / \mu$ takes place when $\kappa_0 \sim 0.2$.

4. N=1 (one flavor)

One flavor case is special. $\varphi_1 = \theta$ and there is only one massive boson with a mass μ_1 . This case was analysed in detail in refs. 7 and 9.

The vacuum on S^1 is $\Phi_{\text{vac}}(\theta) = \Phi_0(\theta)$. Converting all expressions to finite temperature case, we find the boson mass and chiral condensate to be

$$\begin{aligned} \mu_1^2 &= \mu^2 + 8\pi mT \cos \theta e^{-\pi T/\mu} B\left(\frac{\mu_1}{T}\right) \\ \langle \bar{\psi} \psi \rangle_\theta &= -2T \cos \theta e^{-\pi T/\mu} B\left(\frac{\mu_1}{T}\right) \end{aligned} \quad (15)$$

where $\mu = e/\sqrt{\pi}$. At $T = 0$

$$\begin{aligned} \mu_1 &= \sqrt{\mu^2 + m^2 e^{2\gamma} \cos^2 \theta + m e^\gamma \cos \theta} \\ \langle \bar{\psi} \psi \rangle_\theta &= -\frac{e^\gamma}{2\pi} \mu_1 \cos \theta . \end{aligned} \quad (16)$$

Notice that the condensate is nonvanishing even for $m = 0$. As T is raised, it shows a crossover around $T = \mu$, and approaches zero at high temperature. See fig. 1. The correction due to the fermion mass $m \ll \mu$ is minor.

5. $N \geq 2$ (multi-flavors) at low and high T

The situation in the multi-flavor case is quite different. When $m_a = 0$, $V_N(\varphi) = 0$ and $f(\varphi) = \text{const}$ so that $\langle \cos \varphi_a \rangle_f = 0$. Consequently $\langle \bar{\psi}_a \psi_a \rangle_\theta = 0$.

Suppose that fermion masses are degenerate: $m_a = m$. There results one heavy boson and $N - 1$ light bosons with masses μ_1 and μ_2 , respectively. The potential in (13) becomes

$$V_N(\varphi) = -\kappa_0 \sum_{a=1}^N \cos \varphi_a$$

$$\kappa_0 = \frac{N}{(N-1)\pi} \frac{m}{T} B\left(\frac{\mu_1}{T}\right)^{\frac{1}{N}} B\left(\frac{\mu_2}{T}\right)^{1-\frac{1}{N}} e^{-\pi T/N\mu} \quad (17)$$

where μ_1 and μ_2 are determined by

$$\mu_1^2 = \mu^2 + \mu_2^2$$

$$\mu_2^2 = \frac{8\pi^2(N-1)}{N} \kappa_0 T^2 \langle \cos \varphi \rangle_f = -4\pi m \langle \bar{\psi}_a \psi_a \rangle_\theta . \quad (18)$$

Recognize that two parameters κ_0 and θ fix the potential $V_N(\varphi)$.

If $m \neq 0$, κ_0 becomes very large at low temperature $T \rightarrow 0$. In this regime the potential term dominates over the kinetic energy term in the Schrödinger equation (13). The wave function $f(\varphi)$ has a sharp peak around the minimum of the potential. The minimum is located at $\varphi_a = \bar{\theta}/N$ where $\bar{\theta} = \theta - 2\pi[(\theta/2\pi) + \frac{1}{2}]$. As θ varies from $-\pi$ to $+\pi$, the minimum moves from $\varphi_a = -\pi/N$ to $\varphi_a = +\pi/N$, and jumps back to $\varphi_a = -\pi/N$.

In the $T \rightarrow 0$ limit, $\langle \cos \varphi \rangle_f = \cos \bar{\theta}$ so that

$$\frac{1}{\mu} \langle \bar{\psi} \psi \rangle_\theta = -\frac{1}{4\pi} \left(2e^\gamma \cos \frac{\bar{\theta}}{N}\right)^{\frac{2N}{N+1}} \left(\frac{m}{\mu}\right)^{\frac{N-1}{N+1}} \quad \text{for } T \ll m^{\frac{N}{N+1}} \mu^{\frac{1}{N+1}} . \quad (19)$$

Two important observations follow. First, the dependence of the condensate on m is non-analytic. It has fractional power dependence. The effect of fermion masses is nonperturbative in this limit. Secondly, as a function of θ , the condensate has a cusp at $\theta = \pm\pi$, which originates from the discontinuous jump in the location of the minimum of the potential.

In the opposite limit $\kappa_0 \ll 1$, which includes both $m \rightarrow 0$ (with $T > 0$ kept fixed) and $T \gg \mu$, the potential $V_N(\varphi)$ can be treated as a small perturbation in (13). One finds

$$\langle \cos \varphi_a \rangle_f = \begin{cases} (1 + \cos \theta) \kappa_0 & \text{for } N = 2 \\ \kappa_0 & \text{for } N \geq 3. \end{cases} \quad (20)$$

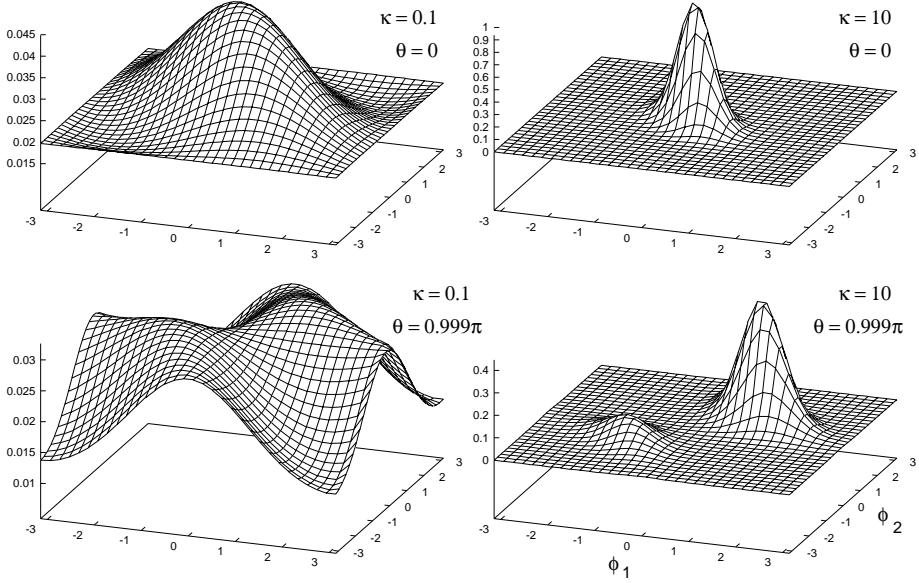


Figure 2: Wave function $|f(\varphi)|^2$ in the $N = 3$ model.

There appears no θ -dependence for $N \geq 3$ to this order. The condensate for $N \geq 3$ is found to be

$$\frac{1}{\mu} \langle \bar{\psi} \psi \rangle_\theta = -\frac{2N}{\pi(N-1)} \frac{m}{\mu} \begin{cases} \left(\frac{\mu e^\gamma}{4\pi T}\right)^{2/N} & \text{for } m^{\frac{N}{N+1}} \mu^{\frac{1}{N+1}} \ll T \ll \mu \\ e^{-2\pi T/N\mu} & \text{for } T \gg \mu \end{cases} \quad (21)$$

For $N = 2$, the expressions for $\langle \bar{\psi} \psi \rangle_\theta$ in (21) must be multiplied by a factor $2 \cos^2 \frac{1}{2}\theta$. Notice that the condensate is linearly proportional to m in this regime.

6. Crossover in $\langle \bar{\psi} \psi \rangle_{\theta,T}$

For general values of T and m , we need to solve the set of equations numerically. In the two flavor case the Schrödinger equation is

$$\left\{ -\frac{\partial^2}{\partial \varphi_1^2} - 2\kappa_0 \cos \frac{1}{2}\theta \cos(\varphi_1 - \frac{1}{2}\theta) \right\} f(\varphi_1) = \epsilon f(\varphi_1) . \quad (22)$$

This is the equation for a quantum pendulum. The strength of the potential is $2\kappa_0 \cos \frac{1}{2}\theta$, which changes the sign at $\theta = \pm\pi$. In particular, the potential vanishes at $\theta = \pm\pi$ and $f(\varphi_1) = \text{constant}$. Consequently the chiral condensate vanishes at $\theta = \pm\pi$. This is a special feature of $N = 2$.

For $N = 3$ the equation is

$$\left\{ -\frac{\partial^2}{\partial \varphi_1^2} - \frac{\partial^2}{\partial \varphi_2^2} + \frac{\partial^2}{\partial \varphi_1 \partial \varphi_2} - \kappa_0 [\cos \varphi_1 + \cos \varphi_2 + \cos(\varphi_1 + \varphi_2 - \theta)] \right\} f(\varphi) = \epsilon f(\varphi) . \quad (23)$$

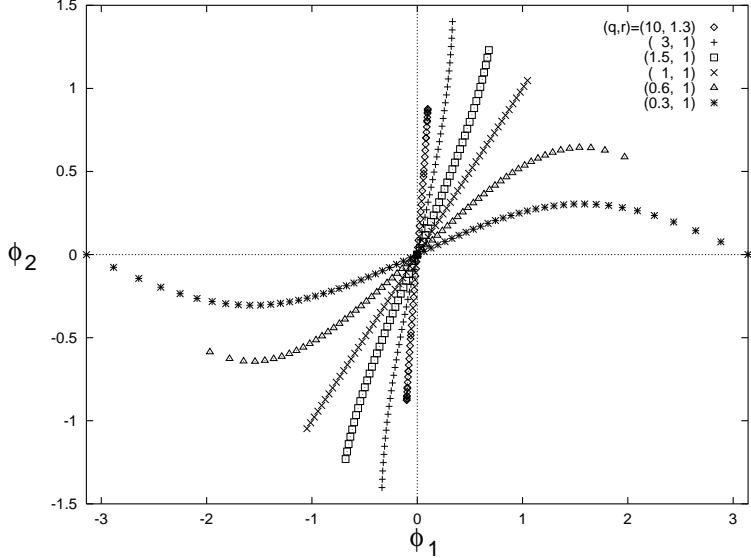


Figure 3: The location of the minimum of the potential in the $N = 3$ model is displayed with various values of (q, r) in (23). For $(q, r) = (10, 1.3)$ the minimum at $\theta = \pm\pi$ is located at the origin.

The potential term never vanishes unless $\kappa_0 = 0$, or equivalently $m = 0$ or $T \rightarrow \infty$. The equation can be solved numerically for an arbitrary κ_0 . The ground state wave function $|f(\varphi)|^2$ has been displayed for various κ_0 and θ in fig. 2.

With given values of m and T the chiral condensate is determined by solving (17), (18), and (23) simultaneously. We developed an iteration procedure which yields a consistent set of values of m/μ , T/μ , μ_a/μ , and κ_0 . It takes less than ten iterations even for moderate values of $\kappa_0 \sim 1$ to achieve four digits accuracy.

In the top figure of fig. 1 we have displayed the condensate $\langle \bar{\psi} \psi \rangle_{\theta=0}/\mu$ as a function of T/μ and m/μ in the $N=3$ case too. With a given m/μ the condensate is almost constant at low T/μ , and sharply drops to a small value around T_* . This crossover takes place when $\kappa_0(m, T_*) \sim 0.2$ for a wide range of the value of m/μ . In the bottom figure we have shown a plot for κ_0 in the same region of the $m-T$ space.

The asymptotic formulas (19) and (21) are quite accurate for $\kappa_0 > 1$ and $\kappa_0 < 0.1$. The important parameter is κ_0 .

7. The singularity at $\theta = \pm\pi$ and fermion masses

As shown in (19), the chiral condensate at $T = 0$ shows a cusp singularity in its θ dependence when fermion masses are degenerate. When fermion masses are not degenerate, the potential $V_N(\varphi)$ in (13) is deformed from the symmetric one in (17). Accordingly the location of the minimum is shifted. The cusp singularity appears when the location of the minimum of the potential makes a discontinuous jump.

With given $\{m_a\}$, A_a in $V_N(\varphi)$ is determined self-consistently. We have determined the location of the minimum of the potential in the three flavor case. The potential is

proportional to

$$F(\varphi_1, \varphi_2; \theta) = -q \cos \varphi_1 - r \cos \varphi_2 - \cos(\theta - \varphi_1 - \varphi_2) \quad (24)$$

where $q = A_1/A_3$ and $r = A_2/A_3$.

In the symmetric case $q=r=1$ the location of the minimum moves from $(-\frac{1}{3}\pi, -\frac{1}{3}\pi)$ to $(+\frac{1}{3}\pi, +\frac{1}{3}\pi)$ as θ varies from $-\pi$ to $+\pi$, and makes a jump. At $\theta=0$ the minimum is located at the origin for arbitrary (q, r) . We have plotted trajectories of the location of the minimum for several typical values of (q, r) in fig. 3.

So long as asymmetry is small, there is a discontinuous jump at $\theta = \pm\pi$. However, a sufficiently large asymmetry restores continuity. For instance, with $(q < 0.5, r = 1)$ the minimum at $\theta = \pm\pi$ is located at $(\varphi_1, \varphi_2) = (\pm\pi, 0)$. The trajectory makes a closed loop on the φ_1 - φ_2 torus. For $(q \gg 1, r = 1)$, the minimum at $\theta = \pi$ is around $(0, \frac{1}{2}\pi)$ so that the discontinuity remains. However, if one adds a small asymmetry in “ r ”, the minimum is pushed back to the origin. For instance, for $(q, r) = (10, 1.3)$, the minimum starts to turn back at $\theta \sim 0.8\pi$ and reaches the origin at $\theta = \pi$. The implication to QCD physics is profound. As $m_s \gg m_d > m_u$, we are facing at a case $q \gg r > 1$ in which there is no singularity in θ any more.¹⁰

We conclude that a sufficiently large asymmetry in the fermion masses removes the cusp singularity at $\theta = \pm\pi$ in $\langle \bar{\psi} \psi \rangle$ at $T = 0$.

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